



# University of California, Santa Barbara Contractor Profile Form

**INSTRUCTIONS:** To be completed by all firms, entities, or individuals proposing to perform construction work for UCSB. Complete, sign and return this form via: (a) regular mail at Contracting Services; UCSB Facilities Management Bldg. 439; Room 'E' Santa Barbara, CA 93106-1030; (b) fax at (805) 895-8592; or (c) email at [contracts.requests@fm.ucsb.edu](mailto:contracts.requests@fm.ucsb.edu).

For UCSB only (do not write in this area) Reviewed by: \_\_\_\_\_ Date: \_\_\_\_\_ Updated & Entered: \_\_\_\_\_

GENERAL INFORMATION			
FIRM NAME:			
DBA (IF APPLICABLE):			
PRIMARY ( <b>MAILING</b> ) ADDRESS, CITY, STATE ZIP:			
SECONDARY ADDRESS (if different from street address):			
MAILING ADDRESS: <input type="checkbox"/> PRIMARY <input type="checkbox"/> SECONDARY			
EMPLOYER IDENTIFICATION NUMBER (EIN) <b>Note: This is not your SSN<sup>1</sup></b>			
CONTACT INFORMATION			
CONTACT PERSON:			
TELEPHONE NO.:		FACSIMILE NO.:	
E-MAIL:			
FIRM INFORMATION			
<b>Are any of the owners or owners' relatives currently employed by the University of California?</b>			
<input type="checkbox"/> Yes <input type="checkbox"/> No <b>If YES, please provide the following details on an attached sheet of paper: name(s), department(s) and relationship(s).</b>			
<b>INDICATE DOLLAR RANGE OF PROJECTS YOU WOULD BE INTERESTED IN BIDDING:</b> (The amounts listed below reflect the Estimated Construction Cost for an entire project – these amounts may not be the amount your firm will be bidding, i.e. if a project is estimated at \$7 Million, but your portion is \$75,000, would you be interested in this project)			
<b>CHECK ALL THAT APPLY:</b>			
<input type="checkbox"/> UP to \$50,000	<input type="checkbox"/> \$50,000 - \$100,000	<input type="checkbox"/> \$100,000 - \$250,000	<input type="checkbox"/> \$250,000 - \$500,000
<input type="checkbox"/> \$500,000 - \$1,000,000	<input type="checkbox"/> \$1,000,000 - \$5,000,000	<input type="checkbox"/> \$5,000,000 - \$10,000,000	<input type="checkbox"/> \$10,000,000 - \$25,000,000
<input type="checkbox"/> \$25,000,000 - \$50,000,000			
OWNERSHIP INFORMATION			
<b>The information listed below is for statistics on utilization of such businesses and is part of our outreach program, this information is not for publication and will be kept confidential</b>			
OWNERSHIP OF BUSINESS: (Check One) if " <b>Corporation</b> " is selected, please <b>provide</b> the State			
<input type="checkbox"/> Corporation	State: <input type="checkbox"/> Partnership	<input type="checkbox"/> Individual/Sole Proprietorship	<input type="checkbox"/> Joint Venture <input type="checkbox"/> LLC
Owner Status - Business is at least <b>51%</b> Owned, Controlled, and Actively Managed by (Place an "X" in the boxes that best describe your firm's ownership check all business categories that apply, refer to <b>Page 3 for definitions</b> ):			
<b>REQUIRED</b>	<input type="checkbox"/> LARGE BUSINESS ENTERPRISE (LBE)	<input type="checkbox"/> SMALL BUSINESS ENTERPRISE (SBE)	
Select <b>one</b> of the following 3 if applicable	<input type="checkbox"/> DISADVANTAGED BUSINESS ENTERPRISE (DBE)	<input type="checkbox"/> DISABLED VETERAN BUSINESS ENTERPRISE (DVBE)	<input type="checkbox"/> WOMEN-OWNED BUSINESS ENTERPRISE (WBE)

**LICENSE INFORMATION****California Contractor's License Number(s):****LICENSE CLASSIFICATION/CODES**

Use the table below to include ALL License Classifications/Codes that your firm holds

<input type="checkbox"/> A - General Engineering	<input type="checkbox"/> C-17 Glazing	<input type="checkbox"/> C-42 Sanitation System
<input type="checkbox"/> B - General Building	<input type="checkbox"/> C-20 Warm-Air Heating, Ventilation & Air Conditioning	<input type="checkbox"/> C-43 Sheet Metal
<input type="checkbox"/> B-2 Residential Remodeling	<input type="checkbox"/> C-21 Building Moving /Demolition	<input type="checkbox"/> C-45 Sign
<input type="checkbox"/> C-2 Insulation and Acoustical	<input type="checkbox"/> C-22 Asbestos Abatement	<input type="checkbox"/> C-46 Solar
<input type="checkbox"/> C-4 Boiler, Hot-Water Heating and Steam Fitting	<input type="checkbox"/> C-23 Ornamental Metal	<input type="checkbox"/> C-47 General Manufactured Housing
<input type="checkbox"/> C-5 Framing and Rough Carpentry	<input type="checkbox"/> C-27 Landscaping	<input type="checkbox"/> C-50 Reinforcing Steel
<input type="checkbox"/> C-6 Cabinet, Millwork & Finish Carpentry	<input type="checkbox"/> C-28 Lock & Security Equipment	<input type="checkbox"/> C-51 Structural Steel
<input type="checkbox"/> C-7 Low Voltage Systems	<input type="checkbox"/> C-29 Masonry	<input type="checkbox"/> C-53 Swimming Pool
<input type="checkbox"/> C-8 Concrete	<input type="checkbox"/> C-31 Construction Zone Traffic Control	<input type="checkbox"/> C-54 Ceramic and Mosaic Tile
<input type="checkbox"/> C-9 Drywall	<input type="checkbox"/> C-32 Parking and Highway Improvement	<input type="checkbox"/> C-55 Water Conditioning
<input type="checkbox"/> C-10 Electrical	<input type="checkbox"/> C-33 Painting and Decorating	<input type="checkbox"/> C-57 Well Drilling
<input type="checkbox"/> C-11 Elevator	<input type="checkbox"/> C-34 Pipeline	<input type="checkbox"/> C-60 Welding
<input type="checkbox"/> C-12 Earthwork and Paving	<input type="checkbox"/> C-35 Lathing and Plastering	
<input type="checkbox"/> C-13 Fencing	<input type="checkbox"/> C-36 Plumbing	<input type="checkbox"/> C-61 Limited Specialty <b>(Identify Specialty Trade in the Table below)</b>
<input type="checkbox"/> C-15 Flooring and Floor Covering	<input type="checkbox"/> C-38 Refrigeration	<input type="checkbox"/> ASB Asbestos Certification #  <input type="checkbox"/> DOSH Certification # <b>(required)</b>
<input type="checkbox"/> C-16 Fire Protection	<input type="checkbox"/> C-39 Roofing	<input type="checkbox"/> HAZ Hazardous Certification #. <b>(required)</b>

**C-61 LIMITED SPECIALTY**

<input type="checkbox"/> D-03 Awnings	<input type="checkbox"/> D-29 Paperhanging	<input type="checkbox"/> D-49 Tree Service
<input type="checkbox"/> D-04 Central Vacuum Systems	<input type="checkbox"/> D-30 Pile Driving and Pressure Foundation Jacking	<input type="checkbox"/> D-50 Suspended Ceilings
<input type="checkbox"/> D-06 Concrete Related Services	<input type="checkbox"/> D-31 Pole Installation and Maintenance	<input type="checkbox"/> D-52 Window Coverings
<input type="checkbox"/> D-09 Drilling, Blasting and Oil Field Work	<input type="checkbox"/> D-34 Prefabricated Equipment	<input type="checkbox"/> D-53 Wood Tanks
<input type="checkbox"/> D-10 Elevated Floors	<input type="checkbox"/> D-35 Pool and Spa Maintenance	<input type="checkbox"/> D-56 Trenching Only
<input type="checkbox"/> D-12 Synthetic Products	<input type="checkbox"/> D-38 Sand and Water Blasting	<input type="checkbox"/> D-59 Hydroseed Spraying
<input type="checkbox"/> D-16 Hardware, Locks and Safes	<input type="checkbox"/> D-39 Scaffolding	<input type="checkbox"/> D-62 Air and Water Balancing
<input type="checkbox"/> D-21 Machinery and Pumps	<input type="checkbox"/> D-40 Service Station Equipment and Maintenance	<input type="checkbox"/> D-63 Construction Clean-Up[
<input type="checkbox"/> D-24 Metal Products	<input type="checkbox"/> D-41 Siding and Decking	<input type="checkbox"/> D-64 Non-Specialized
<input type="checkbox"/> D-28 Doors, Gates and Activating Devices	<input type="checkbox"/> D-42 Sign Installation	<input type="checkbox"/> D-65 Weatherization and Energy Conservation

## PRIVACY NOTIFICATIONS

The State of California Information Practices Act of 1977 (effective July 1, 1978) requires the University of California to provide the following information to individuals who are asked to supply information about themselves:

Failure to provide all requested information may delay or prevent evaluation of your firm's ability to do business with the University.

I hereby certify under penalty of perjury under the laws of the State of California that I have read this application and know the contents thereof, and that the business category and ethnicity indicated above reflect the true and correct status of the business in accordance with Federal Small Business Administration criteria and Federal Acquisition Regulations, FAR 19, pertaining to small, disadvantaged, woman-owned, and disabled veteran-owned business enterprises. I understand that falsely certifying the status of this business, obstructing, impeding, or otherwise inhibiting any University of California official who is attempting to verify the information on this form may result in suspension from participation in University of California business contracts for a period up to five years and the imposition of any civil penalties allowed by law. In addition, I understand that this business must notify the University of California in writing 30 days in advance of any changes in size, ownership, control, or operation which may affect this business's continued eligibility as an SBE, DBE, WBE, DVBE, SDBE, SWBE or SDVBE.

**Note: If you submit this profile form electronically, your submission of this form will be considered as to represent your signature and you may be asked to sign a hard copy at a later date.**

NAME OF BUSINESS: \_\_\_\_\_

NAME: \_\_\_\_\_

TITLE: \_\_\_\_\_

SIGNATURE: \_\_\_\_\_

DATE: \_\_\_\_\_

### DEFINITIONS

**LARGE BUSINESS ENTERPRISE (LBE):** A business whose AVERAGE GROSS RECEIPTS, taken for the last 3 fiscal years (total revenue compiled over the 3-year period divided by 3), EXCEED \$2,500,000 PER YEAR.

**SMALL BUSINESS ENTERPRISE (SBE):** A business whose AVERAGE GROSS RECEIPTS, taken for the last 3 fiscal years (total revenue compiled over the 3-year period divided by 3), DO NOT EXCEED \$2,500,000 PER YEAR.

**DISADVANTAGED BUSINESS ENTERPRISE (DBE):** A business which is at least 51% owned by one or more socially and economically disadvantaged individuals, or, in the case of a publicly owned business, a business concern for which at least 51% of the stock is owned by one or more socially and economically disadvantaged individuals and whose management and daily business operations are controlled by one or more such individuals. Socially disadvantaged individuals are those who have been subjected to racial or ethnic prejudice or cultural bias because of their identity as members of a group without regard to their individual qualities. Economically disadvantaged individuals are those socially disadvantaged individuals whose ability to compete in the free private enterprise system has been impaired due to diminished capital and credit opportunities as compared to others in the same business area who are not socially disadvantaged. Business owners who certify that they are members of named groups (**Asian/Indian Americans, Asian/Pacific Americans, Black/African Americans, Hispanic Americans, Native Americans**) are to be considered socially and economically disadvantaged.

**WOMAN-OWNED BUSINESS ENTERPRISE (WBE):** A business that is at least 51% owned by a woman or women who also control and operate it. "Control" in this context means exercising the power to make policy decisions. "Operate" in this context means being actively involved in the day-to-day management.

**DISABLED VETERAN BUSINESS ENTERPRISE (DVBE):** A business that is at least 51% owned by one or more disabled veterans, or, in the case of a publicly owned business, a business concern for which at least 51% of the stock is owned by one or more disabled veterans and whose management and daily business operations are controlled by one or more such individuals. A Disabled Veteran, in this context, is a veteran of the military, naval, or air service of the United States with a service-connected disability residing in the State of California. To qualify as a veteran with a service-connected disability, the person must be currently declared by the United States Veterans Administration to be 10% or more disabled as a result of service in the armed forces.

### INSURANCE REQUIREMENTS

1. Insurance company must complete University's Certificate of Insurance form. If Contractor's insurance company refuses to use the University's form, it must provide a Certificate of Insurance (and endorsements if needed) evidencing compliance including University's Certificate of Insurance form Special Provisions 1 – 3.

For a copy of the University of California Insurance Form see:

[www.dfss.ucsb.edu/design-construction-services/contracting-services/forms-templates](http://www.dfss.ucsb.edu/design-construction-services/contracting-services/forms-templates)



**UNIVERSITY OF CALIFORNIA DIRECTORY**

**CONTACT ANY OF THE UNIVERSITY CAMPUSES LISTED BELOW WITH WHICH YOU WISH TO DO BUSINESS**

<p><b>BERKELEY CAMPUS</b>          University of California Capital Projects          1936 University Avenue, 2nd Floor          Berkeley, CA 94720-1380          (510) 643-7659 Fax 643-7271  <a href="http://www-pdc.berkeley.edu/">http://www-pdc.berkeley.edu/</a></p>	<p><b>IRVINE CAMPUS</b>          Design and Construction Services          University of California          3500 Berkeley Place          Irvine CA 92697-2450          (949) 824-6630 Fax 824-8096          Information Line: (949) 824-8117</p>	<p><b>SANTA BARBARA CAMPUS</b>          Design &amp; Construction Services          University of California, Santa Barbara          Facilities Management, Building 439          Santa Barbara CA 93106-1030          Fax 893-8592          e-mail: <a href="mailto:contracts.requests@fm.ucsb.edu">contracts.requests@fm.ucsb.edu</a>  <a href="http://www.ucsbplanroom.com">www.ucsbplanroom.com</a></p>
<p><b>BERKELEY CAMPUS</b>          Physical Plant - Campus Service          University of California          2000 Carleton street, Room 130          Berkeley, CA 94720-1384          (510) 642-0447 Fax 643-8990  <a href="http://physicalplant.berkeley.edu/home.asp">http://physicalplant.berkeley.edu/home.asp</a></p>	<p><b>LOS ANGELES CAMPUS</b>          Capital Programs-Contract          Administration          University of California          1060 Veteran Avenue, Room 125          Los Angeles CA 90024-1365          (310) 825-7014 Fax 206-4247  <a href="http://www.capital.ucla.edu">http://www.capital.ucla.edu</a></p>	<p><b>SANTA CRUZ CAMPUS</b>          Physical Planning and Construction          University of California          1156 High Street, Barn G          Santa Cruz, CA 95064-1077          (831) 459-2366 Fax 459-5517          Information Line: (831) 459-5540</p>
<p><b>DAVIS CAMPUS</b>  <b>Division of Agriculture &amp; Natural Resources</b>          Office of Facilities Planning and Management          University of California          One Shields Avenue          Davis CA 95616-8593          (530) 752-2841 Fax 752-2196</p>	<p><b>MERCED CAMPUS</b>          Physical Planning          University of California          P.O. Box 2039          Merced CA 95344          (209) 724-4460 Fax 724-4468  <a href="http://www.ucmerced.edu/campus_planning/rfp/">http://www.ucmerced.edu/campus_planning/rfp/</a></p>	<p><b>LAWRENCE BERKELEY NATIONAL LABORATORY</b>          1 Cyclotron Road, MS 937-200          Berkeley CA 94720-0528          (510) 486-4506 Fax 486-4380          Information Line: (510) 486-4571  <a href="http://procurement.lbl.gov">http://procurement.lbl.gov</a></p>
<p><b>DAVIS CAMPUS</b>  <b>Architects &amp; Engineers</b>          University of California          One Shields Avenue          Davis CA 95616-8527          (530) 752-7839 Fax 752-7866  <a href="http://www.ae.ucdavis.edu">http://www.ae.ucdavis.edu</a></p>	<p><b>RIVERSIDE CAMPUS</b>          Office of Design and Construction          University of California          3615A Canyon Crest Drive          Riverside CA 92507          (909) 787-4201 Fax 787-3890  <a href="http://pdc.ucr.edu">http://pdc.ucr.edu</a></p>	<p><b>LAWRENCE LIVERMORE NATIONAL LABORATORY</b>          Business Affirmative Action Office          Procurement &amp; Material          7000 East Avenue, Mail Stop L-650          Livermore CA 94550          (925) 422-4816 Fax 422-3253  <a href="http://www.llnl.gov/procurement/">http://www.llnl.gov/procurement/</a></p>
<p><b>DAVIS CAMPUS</b>  <b>Facilities-Operations &amp; Maintenance - Contract Services</b>          University of California          One Shields Avenue          Davis CA 95616-8606          (530) 752-2740 Fax 752-9893  <a href="http://www.facilities.ucdavis.edu">http://www.facilities.ucdavis.edu</a></p>	<p><b>SAN DIEGO CAMPUS</b>          Facilities Design &amp; Construction          Contracts Department          University of California          9500 Gilman Drive, Mail Code 0916          LaJolla CA 92093-0916          (858) 534-1984 Fax 534-5633  <a href="http://fdc.ucsd.edu">http://fdc.ucsd.edu</a></p>	<p><b>LOS ALAMOS NATIONAL LABORATORY</b>          Small Business Office          P.O. Box 1663, Mail Stop P203          Los Alamos NM 87545          (505) 667-4410 Fax 667-9819          Toll Free: 1-800-472-9861  <a href="http://bus.lanl.gov/bus5/vendor/vrhome.htm">http://bus.lanl.gov/bus5/vendor/vrhome.htm</a></p>
<p><b>Davis Medical Center</b>          Facilities Design &amp; Construction          University of California          4800 Second Avenue, Suite 3010          Sacramento CA 95817-8026          (916) 734-7024 Fax 734-7751          Information Line: (916) 734-7024</p>	<p><b>SAN FRANCISCO CAMPUS</b>          Capital Project &amp; Facilities Management          University of California          3130 20th Street          San Francisco CA 94110          (415) 476-4754 Fax 476-6503  <a href="http://www.fm.ucsf.edu">http://www.fm.ucsf.edu</a></p>	